IDENTITY OF INTEREST CERTIFICATION

Identity of interest means any relationship (generally based on family ties or financial interests) between (a) the seller and purchaser (prospective owner), (b) the owner and/or general contractor and the subcontractor, material supplier or equipment lessor, or (c) the owner and the lender, which would reasonably give rise to a presumption that the parties to the transaction may operate in collusion in establishing the purchase price of the property, the cost of the rehabilitation or new construction work, or the terms of the financing. Examples of identity of interest relationships include but are not limited to:

A. When the purchaser (owner) has any financial interest whatsoever in the seller or lender or is subject to a common control, or any family relationship by virtue of blood, marriage or adoption exists between the purchaser (owner) and the seller or lender.

B. When the owner or one or more of the officers, directors, stockholders, or partners of a corporation or partnership owning or selling the property has any family tie or is an officer, director, stockholder or partner of the general contractor or lender, or has any financial interest whatsoever in the general contractor or lender.

C. When the seller or general contractor advances any funds to the owner (purchaser) or takes stock or any interest in the owner's (purchaser's) corporation, partnership, or property as payment.

D. When any of the parties is involved with another in an enterprise wholly separate from the proposed HOME project.

E. When any relationship between the owner, seller, lender, general contractor, and subcontractor exists which would give the owner, seller, lender or general contractor control or influence over the cost of the rehabilitation or new construction contracts or the price paid to the subcontractor, material supplier or equipment lessor.

F. When any side deals, agreements or contracts are made or contemplated which would alter or cancel any of the costs related to the property purchase, the rehabilitation or new construction work, or other amounts used to calculate contract rents.

The undersigned hereby certifies that (check one):

[ ] There has not been and is not now any identity of interest between seller, owner, and/or general contractor on the one hand and any subcontractor, material supplier or equipment lessor on the other.

[ ] Attached to and made a part of this certification is a signed Disclosure of Identity of Interest form fully describing any identities of interest and separate cost certifications from any individual or entity having an identity of interest with the owner or general contractor. All amounts shown have been reduced to give effect to the amount(s) of
any kickbacks, rebates, adjustments, discounts, or any other devices which had the effect of reducing the actual cost.

I am aware that this certification by me may be used to induce the U.S. Department of Housing and Urban Development to make Federal funds available for this project under the HOME Program, and that under Title 18 U.S. Code, Sections 1001 and 1010 it is a crime to knowingly make false statements to the United States on this matter and that penalties upon conviction can include a fine and imprisonment.

Project Name: ______________________________________________________________________

Owner Name: ______________________________________________________________________

Owner Signature: ___________________________________________ Date: ________________

General Contractor Name: ________________________________________________

GC Signature: __________________________________________________________ Date: __________